

**"Express Mail" Mailing Label No. EL637096533US**

PTO/SB/08B (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**

Substitute for form 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheat

1

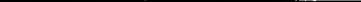
16

1

**Complete It Right**

Application Number	10/090,183
Filing Date	03/02/2002
First Named Inventor	Ralph A. Reisfeld
Art Unit	1636 1633
Examiner Name	Michael D. Burkhart
Attorney Docket Number	TSRI 829.0

## NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	9-7-05
-----------------------	---	--------------------	--------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**1 Applicant's unique citation designation number (optional). 2 Applicant Is to place a check mark here if English language Translation Is attached.**

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:  
**Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**



PTO/SB/08A (02-03)

Approved for use through 04/30/2003 OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**Complete if Known****INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of 12

Application Number	10/090,183
Filing Date	March 2, 2002
First Named Inventor	Ralph A. REISFELD
Art Unit	4645 1633
Examiner Name	BurKhart
Attorney Docket Number	TSRI-829.0

RECEIVED  
TECH CENTER 1600  
APR 3 2003**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> <sup>3</sup> <sup>4</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MP		US- 5,851,999	12/22/1998	Ullrich, et al.	
		US- 5,939,400	8/17/1999	Steinman, et al.	
		US- 6,086,891	7/11/2000	Hurwitz, et al.	
		US- 6,214,804 B1	4/10/2001	Felgner, et al.	
		US- 6,228,844 B1	5/8/2001	Wolff, et al.	
MP		US- 6,235,523 B1	5/22/2001	Gajewczyk, et al.	
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>5</sup> Number <sup>6</sup> Kind Code <sup>7</sup> <sup>8</sup> <sup>9</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>10</sup>
MP		WO 02/03961 A1	1/17/2002	Corixa Corp.		

Examiner Signature

Date Considered

9-14-05

\*EXAMINER: initial if reference considered. Whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*Applicant's unique identifier designation number (optional). <sup>1</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>2</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

APR 28 2003

Under the Patent and Trademark Law Revision Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/088 (02-03)

Approved for use through 04/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

2

*Complete if Known*

Application Number	10/090,183
Filing Date	March 2, 2002
First Named Inventor	Ralph A. REISFELD
Art Unit	1645 / 633
Examiner Name	Burkhart

Attorney Docket Number

TSRI-829.0

TECH CENTER 1600/2000  
APR 3 1 2003RECEIVED  
APR 3 1 2003**OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
MB		Shin SASAKI, et al., NATURE BIOTECHNOLOGY, Vol. 19, pp. 543-547 (June 2001).	
		Nicholas P. RESTIFO, NATURE BIOTECHNOLOGY, Vol. 19, pp. 527-528 (June 2001).	
		Wolfgang W. LEITNER, et al., CANCER RESEARCH, Vol. 60, pp. 51-55 (January 1, 2000).	
		N.P. RESTIFO, et al., GENE THERAPY Vol 7 pp. 89-92 (2000)	
		Bruce A. KEYT, et al., THE JOURNAL OF BIOLOGICAL CHEMISTRY, Vol. 271, No. 10, pp. 5638-5646 (1996).	
		Yu-Quan WEI, et al., NATURE MEDICINE, Vol. 6, No. 10, pp. 1160-1166 (October 2000)	
		William MATTHEWS, et al., PROC. NAT'L. ACAD. SCI., USA, Vol. 88, pp 9026-9030 (October 1991)	
		Timothy P. QUINN, et al., PROC. NAT'L. ACAD. SCI., USA, Vol. 90, pp. 7533-7537 (Aug. 1993).	
		Rong XIANG, et al., CLINICAL CANCER RESEARCH, Vol. 7, pp. 856s-864s (March 2001) (Suppl.).	
MB		Andreas G. NIETHAMMER et al., NATURE MEDICINE, Vol. 8 (12), pp. 1369-1375 (December, 2002).	

Examiner Signature	MB	Date Considered	9-14-05
--------------------	----	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to be had by the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.